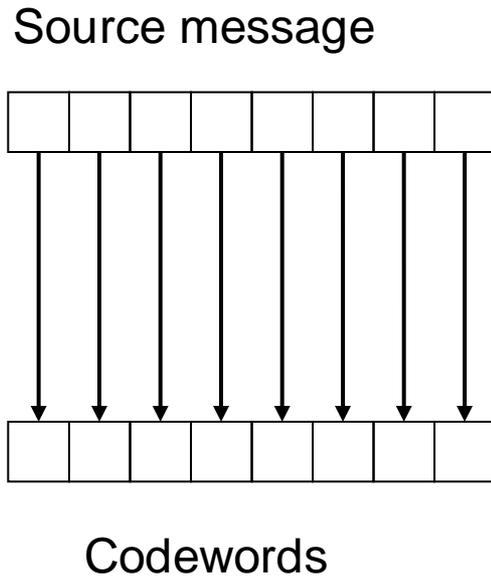


## 2. Coding-Theoretic Foundations

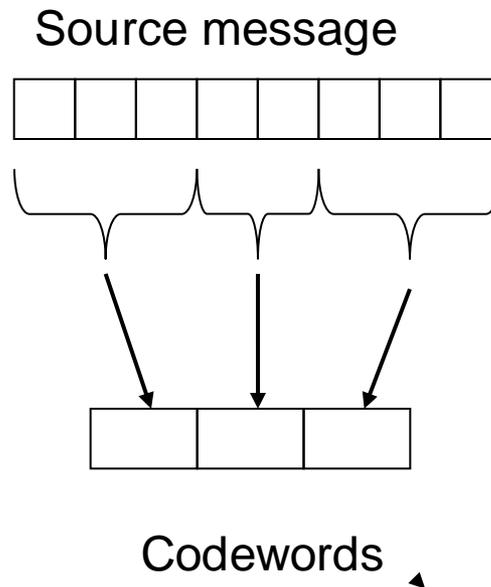
- Source alphabet  $S \rightarrow$  Target alphabet  $\{0, 1\}$
- Categories of source encoding:
  1. *Codebook* methods: Symbols ( $S$ )  $\rightarrow$  Codewords ( $W$ )
    - Implicit / explicit codebook
    - Static / dynamic codebook
    - Original/extended alphabet
  2. *Global* methods:
    - The whole message is transformed into a single computed codeword.
    - No explicit correspondence between source symbols and code bits .

# Illustration of coding approaches

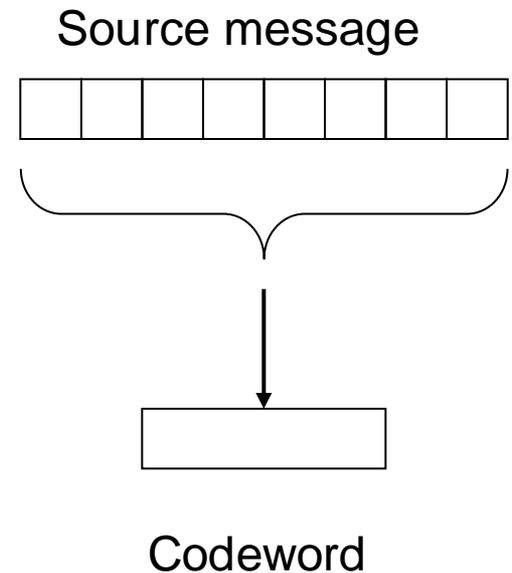
## Encode single symbols



## Encode blocks of symbols



## Encode the message as a single block



# Requirements of a codebook

- Uniqueness:  $s_i \neq s_j \Rightarrow C(s_i) \neq C(s_j)$   
Sufficient for fixed-length codes
- Length indication: required for variable-length codes.  
Alternatives:
  - Length prefixes the actual code (but length must also be coded ...)
  - 'Comma' = special bit combination indicating the end
  - Carefully selected 'self-punctuative' codewords
- Example of an ill-designed codebook:

|          |   |
|----------|---|
| 'a' = 0  | Code string 00110<br>results from either<br>'dca' or 'aaca' |
| 'b' = 01 |   |
| 'c' = 11 |   |
| 'd' = 00 |   |

# Graphical representation of a codebook

Decoding tree (decision tree)

- Left son = bit 0, right son = bit 1
- Prefix-free code: Binary tree (usually complete); each symbol is represented by a path from the root to a leaf, e.g.:

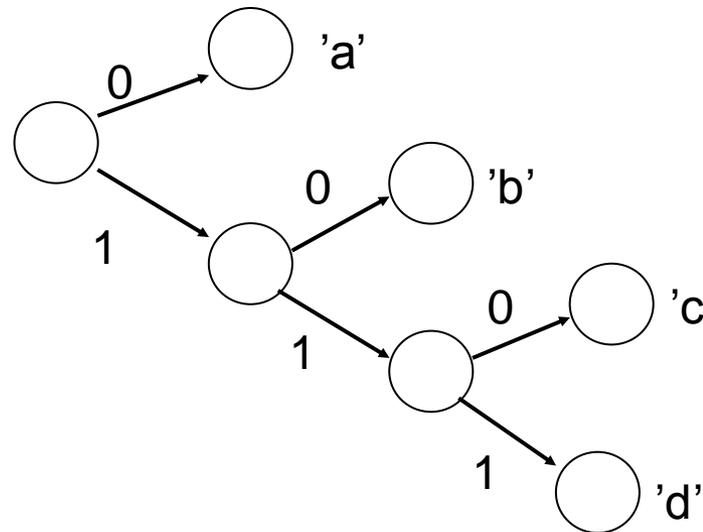
Code table:

'a' = 0

'b' = 10

'c' = 110

'd' = 111



# Properties of codes

- **Some general codebook categories:**

- *Uniquely decodable* (decipherable) code
- *Prefix-free* code (= prefix code)
- *Instantaneous* code

- **Kraft inequality:** An instantaneous codebook  $W$  exists if and only if the lengths  $\{l_1, \dots, l_q\}$  of codewords satisfy

$$\sum_{i=1}^q \frac{1}{2^{l_i}} \leq 1$$

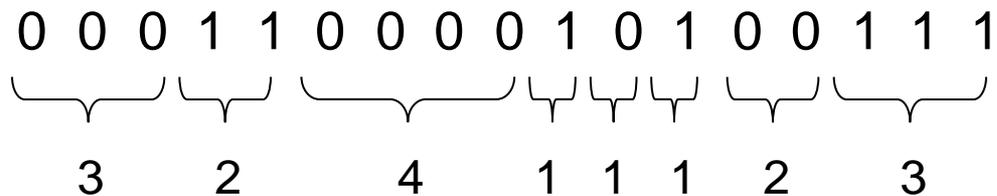
- **MacMillan inequality:** Same as Kraft inequality for any uniquely decodable code.
- **Important:** Instantaneous codes are sufficient.

# Infinite, countable alphabet

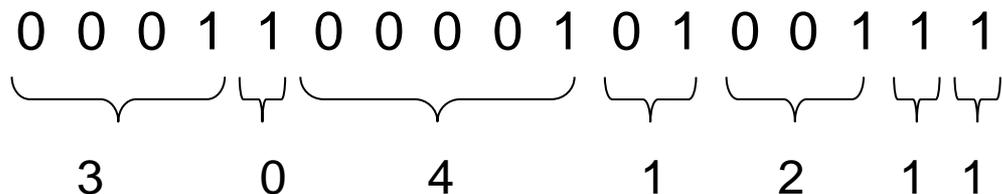
- E.g. Natural numbers 1, 2, 3, ... without limit
- No explicit codebook
- Codes must be determined algorithmically
- Requirements:
  - *Effectiveness*: There is an effective procedure to decide, whether a given codeword belongs to the codebook or not.
  - *Completeness*: Adding a new code would make the codebook not uniquely decipherable.

# Application of coding arbitrary numbers

- **Run-length coding** (Finnish: 'välimatekoodaus'):  
Binary string, 0's and 1's *clustered*, cf. black&white images
- Two possible numberings:
  - Alternating runs of 0 and 1



- Runs of 0's ending at 1:



# Encoding of natural numbers (P. Elias)

- $\alpha$ -coding: Unary code; not efficient enough (not *universal*).
- $\beta$ -coding: Normal positional representation + end symbol (*ternary* alphabet).
- $\gamma$ -coding: Positional representation with  $\alpha$ -coded length as prefix.
- $\delta$ -coding: Positional representation with  $\gamma$ -coded length as prefix.
- $\Omega$ -coding: Recursive representation of lengths

# Example codings of natural numbers

| Number | $\alpha$ -code | $\beta$ -code | $\gamma$ -code | $\delta$ -code |
|--------|----------------|---------------|----------------|----------------|
| 1      | 1              | 11            | 1              | 1              |
| 2      | 01             | 011           | 010            | 0100           |
| 3      | 001            | 1011          | 011            | 0101           |
| 4      | 0001           | 0011          | 00100          | 01100          |
| 5      | 00001          | 01011         | 00101          | 01101          |
| 6      | 000001         | 10011         | 00110          | 01110          |
| 7      | 0000001        | 101011        | 00111          | 01111          |
| 8      | 00000001       | 00011         | 0001000        | 00100000       |
| ...    | ...            | ...           | ...            | ...            |

# Example of robust universal coding

- *Zeckendorf* coding (called also *Fibonacci* coding)
- Number representation using a Fibonacci 'base'

| Number | Weights for |   |   |   |   | Code   |
|--------|-------------|---|---|---|---|--------|
|        | 8           | 5 | 3 | 2 | 1 |        |
| 1      | 0           | 0 | 0 | 0 | 1 | 11     |
| 2      | 0           | 0 | 0 | 1 | 0 | 011    |
| 3      | 0           | 0 | 1 | 0 | 0 | 0011   |
| 4      | 0           | 0 | 1 | 0 | 1 | 1011   |
| 5      | 0           | 1 | 0 | 0 | 0 | 00011  |
| 6      | 0           | 1 | 0 | 0 | 1 | 10011  |
| 7      | 0           | 1 | 0 | 1 | 0 | 01011  |
| 8      | 1           | 0 | 0 | 0 | 0 | 000011 |
| 9      | 1           | 0 | 0 | 0 | 1 | 100011 |
| 10     | 1           | 0 | 0 | 1 | 0 | 010011 |
| 11     | 1           | 0 | 1 | 0 | 0 | 001011 |
| 12     | 1           | 0 | 1 | 0 | 1 | 101011 |

# Semi-fixed-length codes for numbers $x$

- Called also reduced block coding

| $x$ | $q=2$ | $q=3$ | $q=4$ | $q=5$ | $q=6$ | $q=7$ | $q=8$ |
|-----|-------|-------|-------|-------|-------|-------|-------|
| 0   | 0     | 0     | 00    | 00    | 00    | 00    | 000   |
| 1   | 1     | 10    | 01    | 01    | 01    | 010   | 001   |
| 2   |       | 11    | 10    | 10    | 100   | 011   | 010   |
| 3   |       |       | 11    | 110   | 101   | 100   | 011   |
| 4   |       |       |       | 111   | 110   | 101   | 100   |
| 5   |       |       |       |       | 111   | 110   | 101   |
| 6   |       |       |       |       |       | 111   | 110   |
| 7   |       |       |       |       |       |       | 111   |

# Golomb and Rice codes

- Examples of parametric codes for numbers

| $x$ | <u>Golomb</u><br>$m = 3$ | Rice<br>$m = 2$ |
|-----|--------------------------|-----------------|
| 0   | 0 0                      | 00              |
| 1   | 0 10                     | 01              |
| 2   | 0 11                     | 100             |
| 3   | 10 0                     | 101             |
| 4   | 10 10                    | 1100            |
| 5   | 10 11                    | 1101            |
| 6   | 110 0                    | 11100           |
| 7   | 110 10                   | 11101           |
| 8   | 110 11                   | 111100          |
| 9   | 1110 0                   | 111101          |